



LONE WORKING POLICY

South Central Ambulance Service NHS Foundation Trust Unit 7 & 8, Talisman Business Centre, Talisman Road, Bicester, Oxfordshire, OX26 6HR

TABLE OF CONTENTS

DO	CUMENT INFORMATION	3
1.	Introduction	4
2.	Scope	4
3.	Equality statement	4
4.	Aim	5
5.	Roles and responsibilities	6
6.	Definitions	10
7.	Lone worker procedure	11
8.	Training	14
9.	Equality and diversity	14
10.	Monitoring	14
11.	Consultation and review	14
12.	Implementation (including raising awareness)	15
13.	References	15
14.	Associated documentation	15
15.	Appendix 1: Review Table	15
16. арр	Appendix 2: Types of incidents where a solo response MAY not be propriate	15
17.	Appendix 3: Systems of work for lone worker posts	16
18.	Appendix 4 (a): Alcohol and Recreational Drugs Overdose	18
19.	Appendix 4 (b) Assaults	19
20.	Appendix 4 (c) Mental/Emotional	20
21.	Appendix 5: Responsibility	21
22.	Appendix 6: Equality impact assessment - Screening	21
23. ass	Appendix 7: Equality impact assessment form – Section Two – Full essment.	21
24.	Appendix 8: Ratification	21

DOCUMENT INFORMATION

Author: John Dunn, Head of Risk and Security.

Ratifying committee/group: Health, Safety and Risk Group.

Date of ratification: 18/1/2023.

Date of issue: 19/1/2023.

Review date: January 2026.

Version: V13.

1. Introduction

- 1.1 The South Central Ambulance Service NHS Foundation Trust recognises its responsibility under Section 2(1) of the Health and Safety at Work Act (HSWA) 1974 to "ensure so far as is reasonably practicable, the health safety and welfare at work of employees" and this includes all staff who carry out lone working for the Trust.
- 1.2 The Trust will ensure so far as is reasonably practicable, that employees who are required to work alone or unsupervised for significant periods of time are protected from all identified significant risks to their health and safety. Measures will also be adopted to protect anyone else affected by lone working.
- 1.3 This policy intends to cover all aspects of lone working at or for the Trust, including any risks from violence and aggression. Therefore, this policy should be read in conjunction with the Trust's Management of violence and aggression policy.

2. Scope

2.1 This policy applies to every department within the Trust and to all who work either for or on behalf of the Trust, including Community First Responders, contract workers, temporary workers and bank staff.

3. Equality statement

- 3.1 The Trust is committed to promoting positive measures that eliminate all forms of unlawful or unfair discrimination on the grounds of age, marriage and civil partnership, disability, race, gender, religion/belief, sexual orientation, gender reassignment and pregnancy/maternity or any other basis not justified by law or relevant to the requirements of the post. The Trust will therefore take every possible step to ensure that this procedure is applied fairly to all employees.
- 3.2 The Trust values differences between members of the communities we serve and within its existing workforce, and actively seeks to benefit from their differing skills, knowledge, and experiences to ensure equality of opportunity and diversity and remove any barriers that could potentially discriminate. Employees exercising their rights and entitlements under these regulations will suffer no detriment as a result. The Trust is entrusted to promoting equality and diversity best practice both within the workforce and in any other area where it has influence.
- 3.3 The Trust is committed to ensuring equality of opportunity and the absence of unfair discrimination is provided for all employees and stakeholders in line with the Equality Act 2010. We aim to demonstrate this equality of opportunity by removing barriers for example, an employee has difficulty in reading or writing, or where English is not their first language, additional support will be put in place wherever necessary to ensure that the process to be followed is understood and that the employee is not disadvantaged at any stage in the procedure.
- 3.4 We seek to demonstrate our commitment to providing equality of opportunity by:
 - Ensuring that everyone is treated fairly and with respect.

- Making sure that our workspaces are safe, secure and stimulating place for everyone.
- Recognising that people have different needs and understanding that treating people equally does not always involve treating them all exactly the same.
- Recognising that some employees need extra support to help them make progress and be successful.
- Aiming to make sure that no-one experiences harassment, less favourable treatment or discrimination because of:
 - > Age
 - Disability
 - Race
 - > Gender
 - Gender re-assignment
 - Religion and belief
 - Sexual orientation
 - Marriage and civil partnership
 - Being pregnant or having recently had a baby.

4. Aim

- 4.1 The aim of this policy is to set out the arrangements the Trust has in place to ensure the safety of all lone workers who either work for or carry out work on behalf of the Trust.
- 4.2 The objectives of this policy are to provide an effective framework to assist the Trust in complying with statutory requirements by ensuring that there are arrangements in place:
 - for the effective implementation of this policy throughout the Trust
 - for the carrying out of suitable and sufficient risk assessments on lone working, including the carrying out of dynamic risk assessments
 - to ensure that all appropriate controls, so far as reasonably practicable, are put in place to control, manage and reduce that the risks to staff who are lone workers
 - to provide suitable information, instruction and, where necessary, training to staff who are lone workers
 - to provide support and assistance to lone workers so that they can work effectively and efficiently and deliver excellent professional care to patients
 - to provide lone workers with information on addresses they may visit which have a special situation feature
 - to ensure that all incidents involving lone workers are reported and investigated
 - to provide staff with suitable post-incident support
 - where appropriate, to liaise with external agencies following any assaults on, or incidents involving, lone workers.

5. Roles and responsibilities

5.1 Trust Board

- 5.1.1 The Trust Board will ensure that there are suitable and sufficient arrangements and adequate resources for the effective implementation of this and other associated health and safety policies.
- 5.1.2 It will also ensure that there are suitable and sufficient arrangements for the management of health and safety and the identification, assessment and management and control of risks to patients, staff, the general public (anyone affected by the activities of the Trust), Community First Responders, Contractors, Agency Staff and Bank Staff.

5.2 Chief Executive

5.2.1 The Chief Executive has overall accountability for ensuring that the Trust fulfils its legal responsibilities, and that the policy objectives are achieved and that effective machinery is in place for the achievement of the policies concerned with health, safety, welfare, security and environmental protection.

5.3 Executive Directors

- 5.3.1 The Executive Directors have delegated responsibility for the Lone working policy and will be responsible for ensuring that the policy is adopted, implemented and promoted in their respective areas.
- 5.3.2 Responsibilities of the Executive Directors will include ensuring that in each of their respective Directorates:
 - there are arrangements in place for the carrying out of suitable and sufficient risk assessments on staff, and others who act for or on behalf of the Trust, who are lone workers
 - there are suitable measures and processes (safe systems of work) in place to protect and ensure the safety of lone workers
 - managers make adequate arrangements to ensure that lone working staff follow any safe systems of work put in place in accordance with the Trust's Risk Management Strategy and associated health and safety policies
 - there are arrangements in place for the investigation of incidents involving lone workers
 - there are arrangements in place to provide and access appropriate support to lone workers following any incidents.

5.4 Executive Director of Patient Care and Service Transformation/Chief Nurse

- 5.4.1 The Executive Director of Patient Care and Service Transformation/Chief Nurse has delegated responsibility for ensuring that there are safe systems in place for lone working.
- 5.4.2 The Executive Director of Patient Care and Service Transformation/Chief Nurse's responsibilities include:

- coordinating and ensuring the implementation and continued development of the Lone working policy throughout the Trust
- communicating the Trust's commitment to the Lone working policy throughout the organization
- ensuring there are effective arrangements in place for the identification and interpretation of new legislation and guidance in relation to lone working and associated risks
- ensuring there are effective arrangements in place for receiving and monitoring all risk and adverse incident reports, identifying trends and producing statistical data for the Trust Board
- ensuring that there are arrangements in place to provide all patient-facing staff who are lone workers with initial and refresher conflict resolution training
- advising the Chief Executive, Executive Directors and Board on matters of lone working
- coordinating and obtaining assurances from each of the Executive Directors in relation to lone working
- acting as the Trust's designated Board and executive level lead for risk
- acting as the designated executive lead for security management.

5.5 Managers and Supervisors

- 5.5.1 The responsibilities of Trust Managers and Supervisors will include:
 - identifying all of their staff who are lone workers and assessing their suitability to carry out lone working
 - carrying out suitable and sufficient risk assessments on their staff who are lone workers and/or who raise any concerns about lone working; and ensuring that appropriate control measures are in place to ensure their safety
 - escalating any risks beyond their control or outside their level of authority up to the next level of management and recording this on the risk assessment
 - regularly reviewing these risks assessments to ensure that they are still current and valid
 - notifying the Risk Department of all posts that involve lone working and sharing with them all associated risk assessments on lone working and providing confirmation that all necessary control measures have been put in place
 - informing any of their staff who are working late and on their own of the arrangements in place for exiting the building and making it secure
 - to take action and escalate, to the police if necessary, if one of their lone working staff does not return from a visit and/or make contact as per the previously agreed arrangements
 - providing support to their lone working staff who have been assaulted or have been involved in an incident and advising them of the arrangements the Trust has for post-incident support (such as Occupational Health, the confidential Counselling service, Trauma Risk Management (TRiM)
 - ensuring that all of their staff who are lone workers and who are patient-facing receive initial and refresher conflict resolution training
 - fully supporting the implementation of the Lone working policy within their department
 - ensuring that their department as a whole is effective and efficient in the management of lone workers
 - ensuring that Trust decisions in relation to lone working are carried out and

all relevant policies and procedures are implemented and monitored

• working collaboratively with the Police following situations where the police response has not been timely or appropriate.

5.6 All staff

- 5.6.1 All Trust employees and those carrying out work for or on behalf of the Trust will:
 - comply with this Lone working policy and any professional codes of conduct, processes and systems of work put in place to ensure their safety
 - take reasonable care for their own health and safety and that of others who may be affected by their work; and not act in a way that puts themselves or others at risk
 - inform their manager of any concerns they may have with regard to lone working
 - take into account any advice and instructions relating to the area, site or location they visit
 - inform their line manager/Clinical Coordination Centre that they will be working in an area alone and agree the frequency of welfare checks; and inform them of when they will be leaving the premises
 - not interfere with or misuse anything (equipment, etc.) provided for their protection and safety
 - follow any appropriate guidance and attend any relevant training provided
 - where necessary, carry out a continuous dynamic risk assessment and be conscious of changing circumstances; and take appropriate action such as requesting further assistance and/or withdrawing if necessary and seeking further advice and assistance
 - report any identified areas of risk immediately or within 24 hours in accordance with the Trust's Adverse Incident and reporting policy and with Regulation 14 of the Management of Health and Safety at Work Regulations 1999
 - take action and escalate to their manager, and to the police, if necessary, if one of their colleagues that they are acting as 'buddy' for does not return from a visit and/or make contact as per the previously agreed arrangements
 - where applicable, cooperate with the police and any other external agencies
 - carry their identity card at all times whilst they are at work and produce it upon request.
- 5.6.2 In addition to the above, non-operational staff should ensure:
 - that when carrying out any visits on their own they inform their manager and/or colleague (buddy) of the address they are going to, their contact details and their expected return so that if they do not return or make contact, the manager and/or colleague can investigate and, if necessary, contact the police
 - that if they are going straight home after the last visit of the day, they notify their manager beforehand. They should also make arrangements with a relative/friend to notify the Trust if they fail to arrive home or at the agreed meeting point at the expected time.

5.7 Head of Risk and Security

- 5.7.1 The Head of Risk and Security will support the Assistant Director of Quality in managing risks throughout the Trust. The Head of Risk and Security will provide specialist advice and support to line managers with regards to lone working.
- 5.7.2 The Head of Risk and Security is a trained and accredited Local Security Management Specialist and will:
 - carry out and assist Managers with the carrying out of risk assessments on lone working
 - investigate incidents and assist Managers with the carrying out of investigations into incidents involving lone workers
 - where appropriate, liaise with the police and other external agencies to ensure that appropriate follow up action is taken following an incident involving a lone worker
 - assist the Trust with regard to having appropriate policies, procedures and security measures in place to protect and ensure the safety of lone workers
 - liaise with the Special Patients Notes Team to ensure that a special situation feature is placed onto an address (special situation feature application) at the request of staff following an incident.

5.8 Health Safety and Security Officer

- 5.8.1 The Health, Safety and Security Officer will support the Head of Risk and Security by assisting with the managing of risks throughout the Trust. The Health, Safety and Security Officer will provide specialist advice and support to line managers with regards to lone working and will:
 - carry out and assist Managers with the carrying out of risk assessments on lone working
 - investigate incidents and assist Managers with the carrying out of investigations into incidents involving lone workers
 - where appropriate, liaise with the police and other external agencies to ensure that appropriate follow up action is taken following an incident involving a lone worker
 - assist the Trust with regard to having appropriate policies, procedures and security measures in place to protect and ensure the safety of lone workers
 - liaise with the Special Patients Notes Team to ensure that a special situation feature is placed onto an address (special situation feature application) at the request of staff following an incident.

5.9 Clinical Coordination Centre (CCC)

- 5.9.1 When dispatching a lone worker to a job, the Shift Officer/Team Leader/Dispatcher in the Clinical Coordination Centre (CCC) will:
 - verbally inform the lone worker of any special situation features on the address that they are being sent to (verbal communication is necessary because the staff are driving)
 - assess the risk of violence and aggression to the lone worker; and if necessary, stand the lone worker down from attending; (this does not preclude

the lone worker carrying out their own dynamic risk assessment)

- contact the lone worker within 20 minutes of them booking on scene to see how they are
- arrange for and send assistance if it is requested by the lone worker
- automatically phone for police assistance if the lone worker presses the emergency button (otherwise known as the 'man down' button) on their radio; and then contact the lone worker to ascertain how they are. If the lone worker does not answer then the staff in the CCC should contact the Police and Duty Operational Commanding Officer (Bronze Officer) and/or the Duty Tactical Commanding Officer (Silver Officer), whoever is available immediately.
- inform the Duty Manager on Call that an emergency button has been pressed and provide them with details of the incident and any subsequent updates on the situation
- where necessary contact the Police and obtain support for the lone worker.

5.10 Special Patient Notes Team

- 5.10.1 The Special Patient Notes Team are responsible for the placing and managing of special situation features on addresses following any requests from management or staff; in particular they are responsible for:
 - assessing the type and content of the special situation feature and placing it on the system
 - managing all of the non-clinical special situation features on the system that are concerned with violent and aggression and staff safety issues, and ensure that all such features are reviewed annually or less, depending on particular circumstances
 - managing all of the clinical special situation features on the system
 - removing from the system any clinical or non-clinical special situation features which are no longer current and valid.

6. Definitions

- Lone workers: The Health and Safety Executive (HSE) define lone workers as "...those who work by themselves without close or direct supervision. They may be found in a wide range of situations."
- Lone working: the former NHS Protect echo the HSE's definition and define lone working as: "...any situation or location in which someone works without a colleague nearby; or when someone is working out of sight or earshot of another colleague." **Please note**, that NHS Protect has been replaced by NHS England and NHS Improvement.
- 6.1 This policy has been devised bearing both definitions in mind. Therefore, lone workers within the Trust can include:
 - Paramedics, Technicians, Emergency Care Assistants, Specialist Paramedics, Nurses, Associated Ambulance Practitioners
 - Patient Transport Service staff, including Patient Transport Service drivers
 - Community first responders
 - Staff who have to travel between Trust premises to provide a service

- Office based staff who may work on their own outside of normal office hours
- It can also include home workers working at their own at home.
- 6.2 An individual, however, does not have to work for an entire shift to qualify as a lone worker. It can apply to short periods in a shift, say 10 to 15 minutes. Therefore, lone working may be a normal part of a person's usual job or it could occur infrequently, as and when circumstances dictate.

7. Lone worker procedure

7.1 Risk assessments

- 7.1.1 The Trust will put arrangements in place to carry out suitable and sufficient generic risk assessments of all lone working activities and also, where necessary, specific risk assessments (on individuals and/or particular circumstances) and will implement all control measures, so far as is reasonably practicable, to provide for and ensure the health, safety and welfare of staff.
- 7.1.2 The risk assessments will identify, assess and record:
 - all significant hazards associated with lone working in the workplace, working environment, and working practices, that have the potential to put staff at risk
 - the capability of the staff to carry out lone working and whether or not the job imposes any extra demands on the lone worker's physical or mental stamina; and whether the lone worker suffers from any illness that might be exacerbated by lone working
 - all associated existing control measures to decide if they are adequate and, from this, evaluate the level of risk
 - identify who and how many staff will be affected
 - identify any further additional control measures that are necessary to minimise the risk to the lowest level reasonably practicable.
- 7.1.3 Where possible and practicable, the assessment should be carried out before the employee starts any lone working.
- 7.1.4 The risk assessment will be reviewed periodically and also whenever there is a reason to suspect it is no longer valid, and/or whenever there is a significant change to the matters to which it relates, such as a change in the personnel carrying out the work, changes to work processes, changes to the equipment used and the environment wherein the activity (work) takes place.
- 7.15 The completed risk assessments on lone working will be shared with:
 - staff, the Risk Department and the Education and Training Department so that any training needs can be identified and addressed.
 - each Directorate and area for them to develop their own specific lone working risk assessments which reflect their particular circumstances.
- 7.1.6 Individual members of staff working alone must undertake a dynamic risk assessment on approach, or at the scene of a situation, to identify significant hazards and any associated control measures and, from this, evaluate the level of risk and decide whether or not it is safe for them to work on their own. Staff should

be aware that personal safety is a shared responsibility between the Trust and staff, and that they have a responsibility to help themselves to be safer. Staff will not be penalised if it is deemed unsafe to attend an incident and have the full support of the Trust in such cases.

- 7.1.7 If the lone worker has reservations about attending the incident, these should be taken into account. They should be deployed to a location close to the scene (Rendezvous Point RVP) and undertake a dynamic risk assessment from a place of safety. The lone worker should inform the Clinical Coordination Centre (CCC) that they require and request police assistance. The member of staff must book on scene when they arrive at that point.
- 7.1.8 While lone workers may face higher risks, it is important that these risks are not over-emphasised, creating an unnecessary fear amongst staff that is disproportionate to the reality of the risks faced. It is therefore important that work to minimize risks is based on fact.

7.2 Control measures

- 7.2.1 If there is a known risk from a patient then, where possible, consideration should be given to rescheduling the visit so that the lone worker can be accompanied.
- 7.2.2 Staff required to work alone will be provided with suitable portable means of summoning immediate assistance should it be required. Such equipment might include a mobile telephone, portable radio, panic alarm and/or a personal digital assistant (PDA). Depending on the circumstances, failure to provide this equipment could result in the vehicle being declared 'Vehicle off Road' (VOR) until rectified.
- 7.2.3 Clearly defined "Safe Systems of Work" will be developed for all lone working posts.
- 7.2.4 Staff required to undertake lone working will be provided with such information, instruction and training as is necessary to enable them to undertake their work safely.
- 7.2.5 The Trust will provide initial and refresher conflict resolution training (CRT) in accordance with the recommendations from NHS England and NHS Improvement.
- 7.2.6 Staff required to work alone will only be tasked to do so if assessed by a manager as being competent to undertake such tasks.
- 7.2.7 No member of staff will be knowingly sent alone to an incident where there is an actual or potential threat of violence. Any member of staff who feels that a situation is unsafe may withdraw until the situation can be confirmed to be safe or assistance has arrived.
- 7.2.8 Where Operational staff are dispatched to work on their own, a form of welfare check will be performed. This will be an automated process; and the first welfare check will be 10 minutes after the lone worker has arrived on scene and then every 20 minutes. If contact is attempted and no response is gained from the lone worker, consideration should be taken to escalate to a senior manager to inform the police and/or mobilise the nearest available resource/officer to ascertain whether or not they are safe.

- 7.2.9 Staff working alone will have access to suitable and sufficient first aid equipment to enable them to provide emergency treatment to themselves should they become injured.
- 7.2.10 The Tetra radios issued to staff have a tracking device so the location of staff, provided they keep their radio on them, can be tracked. Alternatively, the vehicles can be tracked and located.
- 7.2.11 Frontline 999 Operational staff, including lone workers, will be provided with body worn cameras. The Trust encourages all frontline 999 Operational staff to use these cameras.
- 7.2.12 Lone workers should be informed that they should contact their Line Manager during normal working hours if they experience difficulties. For operational ambulance staff this will be the Duty Manager within the Clinical Coordination Centre (CCC), or the Duty Officer.
- 7.2.13 The Trust is part of the NHS Litigation Authority's Risk Pooling scheme for NHS Trusts which provides Employers' Liability Insurance, which includes cover for lone workers working for or on behalf of the Trust. This is irrespective of their base, provided they are on official Trust business.

7.3 Incident reporting system, Datix

- 7.3.1 An integrated risk management software system, Datix, is used by the Trust to record incidents, complaints and patient experience inquiries. It will also be used to identify trends to enable efforts and resources to be targeted towards high risk areas.
- 7.3.2 The Risk Department will collate all incidents reported on the Trust's Incident reporting system (Datix) and will produce monthly and/or bi-monthly and/or quarterly reports on incident statistics and summaries for the Health, Safety and Risk Group. Incidents involving clinical risk will be placed on the agenda of the Patient Safety Group for discussion.

7.4 Special situation feature application procedure

- 7.4.1 The Trust has a special situation feature application procedure, whereby staff who are subject to abusive, aggressive and/or violent behaviour from patients/patient's relatives/friends/others can report this on the Trust's Incident reporting system, Datix, and can request that a special situation feature is placed on the patient's address to forewarn other Trust staff, including lone workers, who may visit the patient at a future date, that this patient/patient's relatives/friends/others may display abusive, aggressive and/or violent behavior towards Trust staff.
- 7.4.2 When dispatching a lone worker to an address, the dispatcher will check the address to see if there is such a feature and will verbally advise the lone worker of the details and carry out a risk assessment. (This does not preclude the lone worker carrying out their own dynamic risk assessment).

7.5 Employee wellbeing service

- 7.5.1 The Trust commissions an Employee wellbeing service and staff, including lone workers, can access counselling and support via this service.
- 7.5.2 Details of the confidential counselling service are available at each Trust location.

7.6 Trauma risk management (TRiM)

- 7.6.1 The Trust provides Trauma Risk Management (TRiM) which staff, including lone workers, can access if they have been involved in a critical incident which is defined as 'any situation that causes a person to experience unusually strong reaction, which have potential to interfere with their ability to function'.
- 7.6.2 Details of how staff can access TRiM are available at each Trust location.

8. Training

- 8.1 All staff will receive information, instruction, training and, where necessary, supervision in relation to health and safety, risk management and adverse incident reporting.
- 8.2 The Trust will provide initial and refresher conflict resolution training (CRT) in accordance with the recommendations from the former NHS Protect. Please note that NHS Protect has been replaced by NHS England and NHS Improvement.
- 8.3 The Trust will deliver training on risk assessment, risk management and root cause analysis to relevant staff and managers.

9. Equality and diversity

- 9.1 An initial screen equality and diversity impact assessment has been carried out on this policy and, as per appendix 6, is available on request.
- 9.2 An equality and diversity impact assessment has been carried out on this policy and can be found at appendix 6.

10. Monitoring

- 10.1 The effectiveness of this policy will be monitored
- a) The number of Incident reports about lone workers received by the Trust's Incident reporting system, Datix in a financial year.

An annual report from the Risk Team to the Health, Safety and Risk Group which will include details of the number of incident reports about lone work reported and/or reviewed in a financial year.

11. Consultation and review

11.1 A consultation exercise on the policy will be carried out with the stakeholders every

three years, or less if there are any relevant changes to legislation or best practice.

12. Implementation (including raising awareness)

12.1 The policy will be implemented and communicated to managers and staff within the Trust via the weekly newsletter, Staff Matters. Emails will also be sent to senior managers and area managers asking them to bring the existence of the policy to their staff.

13. References

- Health and Safety at Work Etc. Act 1974
- Management of Health and Safety at Work Regulations 1992 (Amended 1999)
- Reporting of Injuries, Diseases and Dangerous Occurrences Regulations (RIDDOR) 2013.

14. Associated documentation

- 14.1 There are also the following documents associated with this policy:
 - Health and Safety Policy and Procedures
 - Risk Management Strategy
 - Risk Management Policy
 - Incident Reporting Policy
 - Management of Violence and Aggression Policy
 - Reporting of Injuries, Diseases and Dangerous Occurrences Regulations (RIDDOR) Policy
 - Lone working risk assessment
 - Security policy
 - Sickness management policy

15. Appendix 1: Review Table

15.1 This policy is regularly reviewed and updated with information in line with relevant national guidance and legislation. A full 'Review Table of Contents' is available on request.

16. Appendix 2: Types of incidents where a solo response MAY not be appropriate

In committing any solo responder to an incident, the safety of Trust staff or those acting on behalf of the Trust must be paramount. A risk assessment must be undertaken by the Dispatcher/Clinical Coordination Centre Manager based on the information available from the caller and any other information that may be available regarding that location e.g. Computer Aided Dispatch (CAD), Features application. Such information <u>must</u> be verbally passed to the attending ambulance staff.

The risk assessment process should be conducted in line with the flowcharts in Appendix 4 which cover the following types of incidents:

- Alcohol and Drugs
- Assaults

• Mental/Emotional.

This risk assessment **must** be conducted prior to committing a lone worker to scene. It is however important that the member of Operational staff responds to the emergency immediately. The Clinical Coordination Centre (CCC) staff will be undertaking the risk assessment during this time. Following the completion of this, and if the situation is assessed as safe, they will authorise the solo responder to commit to scene.

In addition to the risk assessment conducted by CCC, the lone worker, upon arrival at scene, must also carry out their own dynamic risk assessment.

The following incidents, whilst not exclusive, are given as examples where it **may not** be appropriate to mobilise a solo responder:

- Assaults where the assailant is still at the scene;
- Domestic violence where both parties remain at the scene;
- Public disorder e.g. pub fights etc. (not including major incidents);
- Any address, scene or individual where there is a current and valid special situation feature recording a history of violence and aggression and/or sexually inappropriate behaviour.

If police or other emergency services or security staff are confirmed as being in attendance at any of these incidents, then the solo responder can be sent to the scene.

17. Appendix 3: Systems of work for lone worker posts

Operations Front Line Staff

Within Operations the following staff could respond as a lone worker:

- First responder
- Service Responder
- Community Responder
- Qualified officer in a lease vehicle
- Emergency Care Assistant (ECA)

Before responding to an emergency response the lone worker should be informed of the nature of the call and be provided with as much detail as the Clinical Coordination Centre staff have available to them.

The incidents which are deemed unsuitable for front line Operations staff are detailed in Appendix 2.

Patient Transport Service Staff

Patient Transport Service staff must ensure that they are always aware of the potential risks when lone working as they may be placed in danger or be left open to abuse or complaint. Staff must therefore be vigilant to this possibility and, if necessary, withdraw immediately. The situation should be reported to the PTS Contact Centre immediately. Patient Transport Service staff should not be sent on their own to patients who are likely to give rise to problems to an individual member of staff i.e. mental health and known abusive or violent patients.

Patient Transport Service staff working alone must make constant and proper use of either their hand portable radio or mobile phone to keep the PTS Contact Centre (which is based in the Clinical Coordination Centre) aware of their circumstances at all times.

Volunteer Ambulance Service Staff

Volunteer Car Service drivers must ensure that the PTS Contact Centre is fully aware of the route they intend to take, the order of pickup and the start and arrival times of each journey. In the event of the volunteer feeling uncomfortable with the situation, they must withdraw immediately and report to the PTS Contact Centre.

Protocol for other staff

Other staff may from time to time be required to attend meetings and/or work on their own. When travelling on their own staff, should take extra precautions to ensure their own safety. When staying away from home on Trust business, they should not do anything that puts them in danger.

All staff are entitled to a Trust issue personal security alarm, held by the Head of Risk & Security. Applications should be made via the member of staff's line manager.



18. Appendix 4 (a): Alcohol and Recreational Drugs Overdose

*CLINICAL CO-ORDINATION CENTRE (CCC) STAFF TO DOCUMENT ON INCIDENT LOG REASONS FOR HOLDING OFF FOR POLICE *INSPECT PREVIOUS CALLS FOR INCIDENTS AND RELAY RELEVANT INFORMATION TO LONE WORKER/ATTENDING CREWS



*CLINICAL CO-ORDINATION CENTRE (CCC) STAFF TO DOCUMENT ON INCIDENT LOG REASONS FOR HOLDING OFF FOR POLICE *INSPECT PREVIOUS CALLS FOR INCIDENTS AND RELAY RELEVANT INFORMATION TO LONE WORKER/ATTENDING CREWS

20. Appendix 4 (c) Mental/Emotional



* CLINICAL COORDINATION CENTRE (CCC) STAFF TO DOCUMENT ON INCIDENT LOG REASONS FOR HOLDING OFF FOR POLICE. * INSPECT PREVIOUS CALLS FOR INCIDENTS AND RELAY RELEVANT INFORMATION TO LONE WORKER/ATTENDING CREW

21. Appendix 5: Responsibility

- 21.1 The responsibility for this policy is shared between various Policy Groups, Lead Director/Officers, Working Groups and Committee members.
- 21.2 A full list of all responsible parties can be made available upon request.

22. Appendix 6: Equality impact assessment - Screening

- 22.1 An initial screening equality impact assessment has been carried out and has identified that the policy does not have an adverse or detrimental impact on any of the proscribed equality groups as the policy is designed to protect all staff who carry out work for or on behalf of the Trust.
- 22.2 The screening element of the initial 'Equality Impact Assessment' is available on request.

23. Appendix 7: Equality impact assessment form – Section Two – Full assessment

23.1 Due to the outcome of the initial screening equality impact assessment, it has not been necessary to carry out a full equality impact assessment.

24. Appendix 8: Ratification

Policy Title: Lone Working Policy.

Author's Name and Job Title: John Dunn, Head of Risk and Security.

Review Deadline:

Consultation From – To (dates): 23/12/2022 to 13/1/2023.

Comments Received? (Y/N): Y.

All Comments Incorporated? (Y/N): Y.

If No, please list comments not included along with reasons: Comments about including restraining in this policy were not applicable.

Equality Impact Assessment completed (date): 20/12/2022.

Name of Accountable Group: Health, Safety and Risk Group.

Date of Submission for Ratification: 12/1/2023.

Template Policy Used (Y/N): Y.

All Sections Completed (Y/N): Y.

Monitoring Section Completed (Y/N): Y.

Date of Ratification: 18/1/2023.

Date Policy is Active: 19/1/2023.

Date Next Review Due: January 2026.

Signature of Accountable Group Chair (or Deputy):

Name of Accountable Group Chair (or Deputy): Paul Kempster.